

Form ADV Part 2B: Advisory Personnel Brochure Supplement

Lawrence James Annello, CPA/PFS, CFP®

Firm Information

SageBroadview Financial Planning, LLC
6 Executive Drive/Suite 111
Farmington, CT 06032-2837
(860) 255-0103
www.sagebroadview.com

This brochure supplement provides information about Lawrence James Annello that supplements the SageBroadview Financial Planning, LLC brochure. You should have received a copy of that brochure. Please contact SageBroadview Financial Planning, LLC at (860) 255-0103 if you did not receive SageBroadview Financial Planning, LLC's brochure or if you have any questions about the contents of this supplement.

SageBroadview is composed of experienced investment professionals possessing a broad range of knowledge within the securities industry. Advisory persons associated with SageBroadview must possess, minimally, a degree from an accredited college.

Lawrence James Annello, CPA/PFS, CFP® (Year of Birth: 1957)

Educational Background and Business Experience

Education

University of Hartford; BS - Accounting
Certified Public Accountant (CPA)
Personal Financial Specialist (PFS)
Certified Financial Planner™ Professional (CFP®)

Experience

Principal Executive Officer/Chief Compliance Officer; SageBroadview Financial Planning, LLC (Formerly Broadview Financial Planning, LLC) [2000-Present]
Partner; Del Conte, Hyde, Annello & Schuch, P.C. [1999-Present]
Managing Director; Temenos, Inc. [1999-2001]
Partner, Hyde, Bucchi & Company, P.C. [1994-1999]

Certified Public Accountant

Certified Public Accountant (CPA) is a professional designation or title for those qualified accountants in the United States who have passed the Uniform Certified Accountant Examination and have met additional education and experience requirements for certification as a CPA. Although the CPA exam is "uniform," licensing and certification requirements are determined by respective state law. Eligibility to sit for the CPA exam is determined by individual State Boards of Accountancy and typically requires a bachelor's degree that includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study (often known as the "150 hours rule" or five years of study).

In addition to work experience as a practicing accountant and the completion of a special examination on ethics, CPAs are generally also required to take continuing education (CPE) courses in order to renew their license. Although requirements may vary by state, many require 120 hours of CPE every three years, with a minimum of 20 hours per calendar year. The requirement may be fulfilled through attending seminars, webcasts, or through self-study that must require a test to receive credit. As part of the CPE requirement, many states require CPAs to take an ethics course during every renewal period, often 2-8 hours per period.

Personal Financial Specialist

The Personal Financial Specialist (PFS) designation is issued by the American Institute of Certified Public Accountants (AICPA). The prerequisites for the PFS designation include being a member of the AICPA; holding an unrevoked CPA certificate issued by a state authority; having at least two years of full-time business personal financial planning experience or 3,000 hours equivalent experience (which includes up to 1,000 hours of tax compliance) within the five-year period preceding the date of the PFS application. The candidate must complete a minimum of 80 hours of personal financial planning education within five-year period preceding the date of the PFS application as well as successfully pass a final certification exam. A PFS designee must also complete 60 hours of continuing professional education every three years that is related to the personal financial planning body of knowledge.

Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;

- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours of Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this item. There are no criminal or civil actions, administrative enforcement proceedings, self regulatory organization enforcement proceedings or any other proceedings applicable to the firm or Mr. Annello

Other Business Activities

Mr. Annello is a partner of, and spends less than 50% of his time and effort engaged in, the accounting practice of Del Conte, Hyde, Annello & Schuch, P.C. Certain clients may maintain business relationships with SageBroadview Financial Planning, LLC and affiliated accounting firm under separate agreements. No referral fee or other incentive compensation arrangement exists should clients have accounts with or seek the services of both of these entities.

Additional Compensation

Firm employees are not compensated for advisory services involving performance-based fees, nor do they or the firm have a material relationship involving an issuer of a security. Our firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Mr. Annello is not actively engaged in another investment-related business or occupation; he is not registered nor has an application pending to register as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading advisor.

Therefore, Mr. Annello does not receive commissions, bonuses or other compensation based on the sale of securities, including that as a registered representative of a broker/dealer, and including distribution or service ("trail") fees from the sale of mutual funds.

Supervision

Mr. Annello serves in multiple capacities with the firm; Principal Executive Officer; Chief Compliance Officer, Financial Planner and Investment Adviser Representative. He is responsible for supervising the firm's advisory services activities and its associated staff.

Please address questions relative to the firm, staff, its services, or this ADV Part 2 or any Advisory Supplemental Brochure to the attention of Mr. Annello at (860) 255-0103. Additional information about our firm, other advisory firms, or an associated representative is available at www.adviserinfo.sec.gov. You may also obtain a firm's business and disciplinary history by calling the Connecticut Division of Securities at (860) 240-8230 or the Massachusetts Securities Division at (617) 727-3548.

Requirements for State-Registered Advisers

There have been neither arbitration awards nor any awards where SageBroadview Financial Planning, LLC or Mr. Annello has been found liable in any civil, self-regulatory or administrative proceeding. Additionally, neither the firm nor Mr. Annello has been subject of a bankruptcy petition.

Form ADV Part 2B: Advisory Personnel Brochure Supplement**Sheri Iannetta Cupo, CFP®****Firm Information**

SageBroadview Financial Planning, LLC
6 Executive Drive/Suite 111
Farmington, CT 06032-2837
(860) 255-0103
www.sagebroadview.com

Office Address

Courthouse Plaza
60 Washington Street, Suite 102
Morristown, NJ 07960
(973) 539-0200

This brochure supplement provides information about Sheri Iannetta Cupo that supplements the SageBroadview Financial Planning, LLC brochure. You should have received a copy of that brochure. Please contact SageBroadview Financial Planning, LLC at (860) 255-0103 if you did not receive SageBroadview Financial Planning, LLC's brochure or if you have any questions about the contents of this supplement.

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Sheri Iannetta Cupo, CFP® (Year of Birth: 1959)**Educational Background and Business Experience**Education

Virginia Tech; BS – Finance
Certified Financial Planner™ Professional (CFP®)

Experience

Principal Executive Officer; SageBroadview Financial Planning, LLC (Formerly Broadview Financial Planning, LLC) [2013-Present]
Managing Member and Chief Compliance Officer, SAGE Advisory Group, LLC [2001-2013]
Various Positions, General Electric [1981-1996]

Certified Financial Planner

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The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States

and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.
- Examination - Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;
- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours of Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Disciplinary Information

None

Other Business Activities

Ms. Cupo is not actively engaged in any other investment-related businesses or occupations. Ms. Cupo is not actively engaged in any non-investment-related business or occupation for compensation.

Additional Compensation

Firm employees are not compensated for advisory services involving performance-based fees, nor do they or the firm have a material relationship involving an issuer of a security. Our firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Ms. Cupo is not actively engaged in another investment-related business or occupation; he is not registered nor has an application pending to register as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading advisor.

Therefore, Ms. Cupo does not receive commissions, bonuses or other compensation based on the sale of securities, including that as a registered representative of a broker/dealer, and including distribution or service ("trail") fees from the sale of mutual funds.

Supervision

Among other oversight obligations, the firm monitors the personal securities transactions, business activities, advisory services, and communications of all its personnel. Staff must review and acknowledge their adherence to the firm's Code of Ethics and other pertinent policies and guidelines. As Chief Compliance Officer, Mr. Lawrence Annello serves as supervisor for Sheri Iannetta Cupo and may be reached at (860) 255-0103.

Requirements for State-Registered Advisers

There have been neither arbitration awards nor any awards where Ms. Cupo has been found liable in any civil, self-regulatory or administrative proceeding. In addition, Ms. Cupo has not been the subject of a bankruptcy petition.

Form ADV Part 2B: Advisory Personnel Brochure Supplement**John David Principe, CFP®****Firm Information**

SageBroadview Financial Planning, LLC
6 Executive Drive/Suite 111
Farmington, CT 06032-2837
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This brochure supplement provides information about John David Principe that supplements the SageBroadview Financial Planning, LLC brochure. You should have received a copy of that brochure. Please contact SageBroadview Financial Planning, LLC at (860) 255-0103 if you did not receive SageBroadview Financial Planning, LLC's brochure or if you have any questions about the contents of this supplement.

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John David Principe, CFP® (Year of Birth: 1970)**Educational Background and Business Experience**Education

University of Colorado; BS- English
Certified Financial Planner™ Professional (CFP®)

Experience

Senior Planner; SageBroadview Financial Planning, LLC (Formerly BroadView Financial Planning, LLC) [2007-Present]
Senior Planner, SAGE Advisory Group, LLC [2007-2013]
Associate; Scquest, Inc. [1999-2006]

Certified Financial Planner

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- Education - Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning.
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- Experience - Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics - Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education - Complete 30 hours of continuing education hours every two years, including two hours of Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- Ethics - Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Disciplinary Information

None

Other Business Activities

Mr. Principe is not actively engaged in any other investment-related businesses or occupations. Mr. Principe is not actively engaged in any non-investment-related business or occupation for compensation.

Additional Compensation

Firm employees are not compensated for advisory services involving performance-based fees, nor do they or the firm have a material relationship involving an issuer of a security. Our firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Mr. Principe is not actively engaged in another investment-related business or occupation; he is not registered nor has an application pending to register as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading advisor.

Therefore, Mr. Principe does not receive commissions, bonuses or other compensation based on the sale of securities, including that as a registered representative of a broker/dealer, and including distribution or service ("trail") fees from the sale of mutual funds.

Supervision

Among other oversight obligations, the firm monitors the personal securities transactions, business activities, advisory services, and communications of all its personnel. Staff must review and acknowledge their adherence to the firm's Code of Ethics and other pertinent policies and guidelines. As Chief Compliance Officer, Mr. Lawrence Annello serves as supervisor for Mr. Principe and may be reached at (860) 255-0103.

Requirements for State-Registered Advisers

There have been neither arbitration awards nor any awards where Mr. Principe has been found liable in any civil, self-regulatory or administrative proceeding. In addition, Mr. Principe has not been the subject of a bankruptcy petition.

Form ADV Part 2B: Advisory Personnel Brochure Supplement**Christopher James Annello****Firm Information**

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Christopher James Annello (Year of Birth: 1985)**Educational Background and Business Experience**Education

Bryant University; BS - Business Administration
Financial Planner Certificate Program; Boston University

Experience

Associate Adviser; SageBroadview Financial Planning, LLC (Formerly Broadview Financial Planning, LLC) [2011-Present]
Associate Adviser, SAGE Advisory Group, LLC [2011-2013]
Assistant Manager; Enterprise Rent-a-Car [2007-2011]

Disciplinary Information

None

Other Business Activities

Mr. Annello is not actively engaged in any other investment-related businesses or occupations. Mr. Annello is not actively engaged in any non-investment-related business or occupation for compensation.

Additional Compensation

Firm employees are not compensated for advisory services involving performance-based fees, nor do they or the firm have a material relationship involving an issuer of a security. Our

firm also prohibits employees from accepting or receiving additional economic benefit, such as sales awards or other prizes, for providing advisory services to its clients.

Mr. Annello is not actively engaged in another investment-related business or occupation; he is not registered nor has an application pending to register as a registered representative of a broker/dealer or associated person of a futures commission merchant, commodity pool operator, or commodity trading advisor.

Therefore, Mr. Annello does not receive commissions, bonuses or other compensation based on the sale of securities, including that as a registered representative of a broker/dealer, and including distribution or service ("trail") fees from the sale of mutual funds.

Supervision

Among other oversight obligations, the firm monitors the personal securities transactions, business activities, advisory services, and communications of all its personnel. Staff must review and acknowledge their adherence to the firm's Code of Ethics and other pertinent policies and guidelines. As Chief Compliance Officer, Mr. Lawrence Annello serves as supervisor for Christopher Annello and may be reached at (860) 255-0103.

Requirements for State-Registered Advisers

There have been neither arbitration awards nor any awards where Christopher Annello has been found liable in any civil, self-regulatory or administrative proceeding. In addition, Christopher Annello has not been the subject of a bankruptcy petition.

Form ADV Part 2B: Advisory Personnel Brochure Supplement**Bruce Marcel Del Conte, CPA****Firm Information**

SageBroadview Financial Planning, LLC
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This brochure supplement provides information about Bruce Marcel Del Conte that supplements the SageBroadview Financial Planning, LLC brochure. You should have received a copy of that brochure. Please contact SageBroadview Financial Planning, LLC at (860) 255-0103 if you did not receive SageBroadview Financial Planning, LLC's brochure or if you have any questions about the contents of this supplement.

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Bruce Marcel Del Conte, CPA (Year of Birth: 1954)**Educational Background and Business Experience**Education

University of Hartford; BS - Accounting
Certified Public Accountant (CPA)

Experience

Director; SageBroadview Financial Planning, LLC (Formerly Broadview Financial Planning, LLC) [2000-Present]
Partner; Del Conte, Hyde, Anello & Schuch, P.C. [1986-Present]
Registered Representative; McLean Securities, LLC [10/09-08/2013]
Investment Banking Representative; The McLean Group [10/09-08/2013]
Investment Adviser Representative; Temenos, Inc. [1999-2001]

Certified Public Accountant

Certified Public Accountant (CPA) is a professional designation or title for those qualified accountants in the United States who have passed the Uniform Certified Accountant Examination and have met additional education and experience requirements for certification as a CPA. Although the CPA exam is "uniform," licensing and certification requirements are determined by respective state law. Eligibility to sit for the CPA exam is determined by individual State Boards of Accountancy and typically requires a bachelor's degree that includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study (often known as the "150 hours rule" or five years of study).

In addition to work experience as a practicing accountant and the completion of a special examination on ethics, CPAs are generally also required to take continuing education (CPE) courses in order to renew their license. Although requirements may vary by state, many require 120 hours of CPE every three years, with a minimum of 20 hours per calendar year. The requirement may be fulfilled through attending seminars, webcasts, or through self-study that must require a test to receive credit. As part of the CPE requirement, many states require CPAs to take an ethics course during every renewal period, often 2-8 hours per period.

Disciplinary Information

None

Other Business Activities

Mr. Del Conte does not actively participate in SageBroadview Financial Planning, LLC; he spends his time and effort engaged as a partner with the accounting practice of Del Conte, Hyde, Annello & Schuch, P.C. Certain clients may maintain business relationships with both SageBroadview Financial Planning, LLC or our affiliated accounting firm under separate agreements. No referral fee or other incentive compensation arrangement exists should clients have accounts with or seek the services of these multiple entities.

Additional Compensation

Firm employees are not compensated for advisory services involving performance-based fees, nor do they or the firm have a material relationship involving an issuer of a security.

Supervision

Among other oversight obligations, the firm monitors the personal securities transactions, business activities, advisory services, and communications of all its personnel. Staff must review and acknowledge their adherence to the firm's Code of Ethics and other pertinent policies and guidelines. As Chief Compliance Officer, Mr. Lawrence Annello serves as supervisor for Mr. Del Conte and may be reached at (860) 255-0103.

Requirements for State-Registered Advisers

There have been neither arbitration awards nor any awards where Mr. Del Conte has been found liable in any civil, self-regulatory or administrative proceeding. In addition, Mr. Del Conte has not been the subject of a bankruptcy petition.

Form ADV Part 2B: Advisory Personnel Brochure Supplement**Robert Carl Lickwar, Jr., CPA****Firm Information**

SageBroadview Financial Planning, LLC
6 Executive Drive/Suite 111
Farmington, CT 06032-2837
(860) 255-0103
www.sagebroadview.com

This brochure supplement provides information about Robert Carl Lickwar, Jr. that supplements the SageBroadview Financial Planning, LLC brochure. You should have received a copy of that brochure. Please contact SageBroadview Financial Planning, LLC at (860) 255-0103 if you did not receive SageBroadview Financial Planning, LLC's brochure or if you have any questions about the contents of this supplement.

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Robert Carl Lickwar, Jr., CPA (Year of Birth: 1961)**Educational Background and Business Experience**Education

University of Hartford; MS - Tax
Central Connecticut State University; BS - Accounting
Certified Public Accountant (CPA)

Experience

Director; SageBroadview Financial Planning, LLC (Formerly Broadview Financial Planning, LLC) [2000-Present]
Partner; Del Conte, Hyde, Annello & Schuch, P.C. [1993-Present]
Investment Adviser Representative; Temenos, Inc. [1999-2001]

Certified Public Accountant

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In addition to work experience as a practicing accountant and the completion of a special examination on ethics, CPAs are generally also required to take continuing education (CPE)

courses in order to renew their license. Although requirements may vary by state, many require 120 hours of CPE every three years, with a minimum of 20 hours per calendar year. The requirement may be fulfilled through attending seminars, webcasts, or through self-study that must require a test to receive credit. As part of the CPE requirement, many states require CPAs to take an ethics course during every renewal period, often 2-8 hours per period.

Disciplinary Information

None

Other Business Activities

Mr. Lickwar does not actively participate in SageBroadview Financial Planning, LLC; he spends his time and effort engaged as a partner with the accounting practice of Del Conte, Hyde, Annello & Schuch, P.C. Certain clients may maintain business relationships with both SageBroadview Financial Planning, LLC or our affiliated accounting firm under separate agreements. No referral fee or other incentive compensation arrangement exists should clients have accounts with or seek the services of these multiple entities.

Additional Compensation

Firm employees are not compensated for advisory services involving performance-based fees, nor do they or the firm have a material relationship involving an issuer of a security.

Supervision

Among other oversight obligations, the firm monitors the personal securities transactions, business activities, advisory services, and communications of all its personnel. Staff must review and acknowledge their adherence to the firm's Code of Ethics and other pertinent policies and guidelines. As Chief Compliance Officer, Mr. Lawrence Annello serves as supervisor for Mr. Lickwar and may be reached at (860) 255-0103.

Requirements for State-Registered Advisers

There have been neither arbitration awards nor any awards where Mr. Lickwar has been found liable in any civil, self-regulatory or administrative proceeding. In addition, Mr. Lickwar has not been the subject of a bankruptcy petition.

Form ADV Part 2B: Advisory Personnel Brochure Supplement**William Edward Schuch, CPA****Firm Information**

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6 Executive Drive/Suite 111
Farmington, CT 06032-2837
(860) 255-0103
www.sagebroadview.com

This brochure supplement provides information about William Edward Schuch that supplements the SageBroadview Financial Planning, LLC brochure. You should have received a copy of that brochure. Please contact SageBroadview Financial Planning, LLC at (860) 255-0103 if you did not receive SageBroadview Financial Planning, LLC's brochure or if you have any questions about the contents of this supplement.

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William Edward Schuch, CPA (Year of Birth: 1951)**Educational Background and Business Experience**Education

Providence College; BS - Accounting
Certified Public Accountant (CPA)

Experience

Director; SageBroadview Financial Planning, LLC (Formerly Broadview Financial Planning, LLC) [2000-Present]
Partner; Del Conte, Hyde, Anello & Schuch, P.C. [1985-Present]
Investment Adviser Representative; Temenos, Inc. [1999-2001]

Certified Public Accountant

Certified Public Accountant (CPA) is a professional designation or title for those qualified accountants in the United States who have passed the Uniform Certified Accountant Examination and have met additional education and experience requirements for certification as a CPA. Although the CPA exam is "uniform," licensing and certification requirements are determined by respective state law. Eligibility to sit for the CPA exam is determined by individual State Boards of Accountancy and typically requires a bachelor's degree that includes a minimum number of qualifying credit hours in accounting and business administration with an additional one year study (often known as the "150 hours rule" or five years of study).

In addition to work experience as a practicing accountant and the completion of a special examination on ethics, CPAs are generally also required to take continuing education (CPE) courses in order to renew their license. Although requirements may vary by state, many

require 120 hours of CPE every three years, with a minimum of 20 hours per calendar year. The requirement may be fulfilled through attending seminars, webcasts, or through self-study that must require a test to receive credit. As part of the CPE requirement, many states require CPAs to take an ethics course during every renewal period, often 2-8 hours per period.

Disciplinary Information

None

Other Business Activities

Mr. Schuch does not actively participate in SageBroadview Financial Planning, LLC; he spends his time and effort engaged as a partner with the accounting practice of Del Conte, Hyde, Annello & Schuch, P.C. Certain clients may maintain business relationships with both SageBroadview Financial Planning, LLC and the affiliated accounting firm under separate agreements. No referral fee or other incentive compensation arrangement exists should clients have accounts with or seek the services of these multiple entities.

Additional Compensation

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Supervision

Among other oversight obligations, the firm monitors the personal securities transactions, business activities, advisory services, and communications of all its personnel. Staff must review and acknowledge their adherence to the firm's Code of Ethics and other pertinent policies and guidelines. As Chief Compliance Officer, Mr. Lawrence Annello serves as supervisor for Mr. Schuch and may be reached at (860) 255-0103.

Requirements for State-Registered Advisers

There have been neither arbitration awards nor any awards where Mr. Schuch has been found liable in any civil, self-regulatory or administrative proceeding. In addition, Mr. Schuch has not been the subject of a bankruptcy petition.